

# **AGENDA**

## **SIXTH ANNUAL SYMPOSIUM FOR INTERNATIONAL EXPORT CONTROL OFFICIALS IN CONJUNCTION WITH UPDATE '99**

**BUREAU OF EXPORT ADMINISTRATION  
U.S. DEPARTMENT OF COMMERCE**

**WASHINGTON, D.C.  
JULY 12 - 16, 1999**

### **Invited Participants:**

**International Export Control Officials from: Albania, Armenia, Azerbaijan, Bulgaria, Czech Republic, Estonia, Georgia, Hungary, Kazakhstan, Kyrgyz Republic, Latvia, Lithuania, Moldova, Poland, Romania, Russia, Slovakia, Slovenia, Tajikistan, Turkmenistan, Ukraine, and Uzbekistan**

### **Invited Observers:**

**India and Pakistan**

**Symposium Coordinator: Govinder S. Keith  
Senior Country Program Manager, NEC Team  
Phone: (202) 482-3377 Fax: (202) 501-8224  
E-mail GKeith@bxa.doc.gov  
Department of Commerce**

**This Program is funded by the U.S. Department of State under Interagency  
Agreement  
S-IAA-99-PM(NADR)-1**

## **PURPOSE**

**The sixth annual Symposium for International Export Control Officials and Update “99” will be held July 12 -16, 1999, in Washington, D.C. The “Symposium” is sponsored by the Bureau of Export Administration (BXA) and funded by the State Department’s Nonproliferation, Anti-terrorism, Demining and Related (NADR) activities budget. The Symposium, which incorporates attendance at pertinent portions of the Update “99” program, is specifically designed for international export control officials to meet U.S. export control officials, develop or maintain working relationships with their U.S. and international counterparts, and become more familiar with the U.S. export control system.**

**This year’s Symposium will focus on “strengthening compliance with export controls and implementation of industry outreach: including the roles of brokers, freight forwarders, and shippers in export control trade.” These export service providers are on the front lines of international trade. Their operating procedures regarding compliance with export control laws and regulations are essential to establishing and maintaining an effective national export control system.**

**The countries of North America, Europe, and the New Independent States all employ different regulations and documentation requirements during the actual shipment phase of an export transaction. Governments differ on the amount of resources dedicated to export control review during the shipment phase. Governments also differ on the responsibilities, particularly screening of consignees, by brokers, freight forwarders, and shippers while goods are “in transit.” The Symposium will allow participants to take note of and discuss different requirements and methodologies employed to enhance a country’s national export control system.**

**The Symposium program will also:**

- Address the threat to world and regional security from the proliferation of weapons of mass destruction through the uncontrolled movement of goods and technology to unauthorized end-uses and end-users.**
- Facilitate international export control officials, their U.S. counterparts, and U.S. business representatives to exchange views on progress made, and problems encountered in the implementation of export control systems.**
- Strengthen understanding of industry participation as a critical element for effective and efficient functioning of all phases of nonproliferation export control systems.**
- Encourage participating countries to develop export control systems, based on international norms, that will facilitate increased trade.**

**Monday, July 12, 1999**

**U.S. Department of Commerce  
Hall of Commerce**

**Opening of Symposium for International Export Control Officials**

**Morning Session**

**8:30 am      Coffee**

**9:00 am      Welcoming and Introductory Remarks**

**T. Scott Bunton  
Deputy Under Secretary for Export Administration  
Department of Commerce**

**Trisha Dedik  
Director, Nuclear Transfer and Supplier Policy Division  
Office of Arms Control and Nonproliferation  
Department of Energy**

**Dr. George Menas  
Director, Policy Division  
Defense Threat Reduction Agency**

**Joe Smalldone  
Acting Deputy Assistant Secretary for Export Control  
Department of State**

**9:45 am      Briefing on Update and Symposium**

**Daniel C. Hurley, Jr.,  
Senior Policy Advisor to the Under Secretary of Export  
Administration  
Department of Commerce**

**Eileen Albanese  
Director  
Office of Exporter Services  
Department of Commerce**

**10:00 am      Legal Structure of Export Control Systems**  
  
**Matt Borman**  
**Senior Attorney**  
**Office of Chief Counsel for Export Administration**  
**Department of Commerce**

**10:30 am      Overview of Export Administration**  
  
**Roger Majak**  
**Assistant Secretary for Export Administration**  
**Department of Commerce**

**11:00 am      Coffee**

**11:15 am      Overview of Export Enforcement**  
**F. Amanda Debusk**  
**Assistant Secretary for Export Enforcement**  
**Department of Commerce.**

**12:00 Noon    Group Photo**  
  
**Lunch at J.W. Marriott Hotel**

**Afternoon Session**

**2:00 pm.      Country Presentations by Delegation Leaders on Their National  
Export Control System's Accomplishments, Improvements, and  
Strengthening Over the Previous Year.**

**Moderator: T. Scott Bunton**  
**Deputy Under Secretary for Export Administration**  
**Department of Commerce**

**Albania, Armenia, Azerbaijan, Bulgaria, Czech Republic, Estonia**

**3:00 pm      Coffee Break**

- 3:15 pm**      **Continuation of Country Presentations by Delegation Heads on  
Their National Export Control System's Accomplishments,  
Improvements and Strengthening Over the Previous Year.**
- Moderator: Donald L. Creed**  
**Director Country Programs**  
**NEC TEAM**  
**Department of Commerce**
- Georgia, Hungary, Kazakhstan, Kyrgyz Republic, Latvia, Lithuania**
- 4:30 pm**      **U.S. Industry Panel**  
**Industry Expectations from U.S. Government sponsored Export  
Control Conference (UPDATE '99)**
- James Lemon**  
**United Technologies**
- Randolph Williams**  
**Hewlett Packard**
- Richard Burgess**  
**Dupont**

***Tuesday, July 13, 1999***

***J.W. Marriott Hotel  
Washington, D.C.***

***Opening of Update '99 for Update participants***

***Morning Session***

***7:30 am      Registration and Continental Breakfast***  
*Update Expo Open (remains open all day)*

***8:30 am      Welcoming and Opening Remarks***  
*Eileen Albanese*

***8:45 am      The State of BXA***  
*William Reinsch*

***9:05 am      Upper Management Remarks***  
*Assistant Secretary Roger Majak*  
*Assistant Secretary Amanda DeBusk*

***9:40 am      Regulation Update***

***10:10 am     Coffee Break and Networking***

***10:30 am     Workshops and Breakout Sessions***

*Technical Data and Software*  
*Chair: Gene Christiansen*

*Export Enforcement*  
*Chair: Mark Menefee*

*High Performance Computers*  
*Chair: James Lewis*

***12:00 Noon   Luncheon***  
*Guest Speaker (To Be Announced)*

Afternoon Session

**1:30 pm      Workshops and Breakout Sessions**

*Nonproliferation Regimes*

*Chair: Steven Goldman*

*Country Policy and Licensing*

*Chair: Joan Roberts*

*Encryption Controls*

*Chair: Patricia Sefcik*

**3:15 pm      Refreshment Break and Networking**

**3:30 pm      Workshops and Breakout Sessions**

*Technical Data and Software*

*Chair: Gene Christiansen*

*Encryption Controls*

*Chair: Patricia Sefcik*

*Deemed Exports*

*Chair: Bernard Kritzer*

**5:00 pm      Program Adjourns**

**5:30 pm-  
8:00 pm      Gala Reception**

***Wednesday, July 14, 1999***

***J.W. Marriott Hotel  
Washington, D.C.***

***Morning Session***

***7:30 am          Continental Breakfast***  
*Update Expo Open (remains open until 3 pm)*

***8:30 am          Workshops and Breakout Sessions***

*Defense Trade Policy Update and Best Export Practices*  
*Chair: William Denk*

*Export Enforcement*  
*Chair: Mark Menefee*

*Country Policy and Licensing*  
*Chair: Joan Roberts*

***10:00 am        Coffee Break and Networking***

***10:15 am        Interagency Aspects***  
*Chair: Iain S. Baird*

***11:30 am        Simplified Network Application Process (SNAP)***  
*Demonstration*  
*Chair: Miriam Cohen*

***12:00 n         Luncheon***

***Conclusion of Update '99 for International Export Control Officials***



## Afternoon Session

**1:30 pm      Bilateral Meetings**

**Meeting Rooms 1414, 1412, 3884, 4510  
U.S. Department of Commerce  
Washington, D.C.**

### **SCHEDULE OF BILATERAL MEETINGS**

<b>TIMES</b>	<b>BILATERAL 1</b>	<b>BILATERAL 2</b>	<b>BILATERAL 3</b>	
	<b>Room 1414 STATE Joseph Smalldone Jodi Kouts DOD Melanie Jackman DOE Edward Fox Chris Behan DOC Matthew Borman Stephen Leacy Donald Creed</b>	<b>Room 1412 STATE Craig Karp DOD Harlan Strauss Nick Mihnovits DOE Melissa Krupa DOC Rodney Joseph Suzanne Porter</b>	<b>Room 3884 STATE Margaret Mitchell DOD Sumner Benson DOE Erika Ribanzsky DOC George Grafeld Tom Sefferly</b>	<b>Tracker Bi-laterals Room 4510 STATE Ray Smith DOC Gene Petersen Beard Marvin Garcia</b>
<b>1:30 - 2:00 p.m.</b>	<b>Czech Rep</b>	<b>Armenia</b>	<b>Albania</b>	
<b>2:00 - 2:30</b>	<b>Moldova</b>	<b>Georgia</b>	<b>Bulgaria</b>	<b>Slovakia</b>
<b>2:30 - 3:00</b>	<b>Poland</b>	<b>Azerbaijan</b>	<b>Estonia</b>	<b>Bulgaria</b>
<b>3:00 - 3:30</b>	<b>Romania</b>	<b>Kazakhstan</b>	<b>Latvia</b>	<b>Hungary</b>
<b>3:30 - 4:00</b>	<b>Russia</b>	<b>Kyrgyz Republic</b>	<b>Lithuania</b>	<b>Georgia</b>
<b>4:00 - 4:15</b>	<b>Break</b>	<b>Break</b>	<b>Break</b>	<b>Break</b>
<b>4:15 - 4:45</b>	<b>Slovakia</b>	<b>Tajikistan</b>	<b>Slovenia</b>	<b>Kazakhstan</b>
<b>4:45 - 5:15</b>	<b>Ukraine</b>	<b>Uzbekistan</b>	<b>Hungary</b>	<b>Slovenia</b>
<b>5:15 - 5:45</b>		<b>Turkmenistan</b>		
<b>5:45 - 6:15 6:15 - 7:00</b>	<b>FOR OTHER MEETINGS IF NECESSARY</b>	<b>India Pakistan</b>		<b>Armenia</b>

**Thursday, July 15, 1999**

**Hall of Commerce  
U.S. Department of Commerce**

**Morning Session**

**Moderator: Matthew Borman  
Senior Attorney  
Office of Chief Counsel for Export Administration  
Department of Commerce**

**9:00am      Legal Requirements for Brokers and Freight Forwarders.**

**Lorie Whitaker  
Senior Attorney  
Office of Chief Counsel for Export Administration  
Department of Commerce**

**9:25am      Legal Requirements for Other Distributors, Resellers, Brokers, and  
Other Parties to Export Transactions.**

**Mi- Yong Kim  
Counsel  
Office of Chief Counsel for Export Administration  
Department of Commerce**

**9:50am      Legal Requirements for Arms Brokers.**

**Melanie Khanna  
Office of Legal Advisor  
Department of State**

**10:15am      Break**

**10:30am      Moderator: Gene Petersen-Beard  
Deputy Director  
NEC Team**

**10:30am      Freight Forwarder Practitioner Overview of the Practical Aspects of Export Control in Their Business.**

**Bill Maron, Sr.  
Director of Ocean Exports  
A.N. Deringer, Inc.**

**11:00am      Freight Forwarder Practitioner Overview of the Practical Aspects of Export Control in Their Business.**

**Susan Kargel  
District Manager  
Aires International**

**11:30am      Practical Aspects of Moving Unique Commodities Through NIS Countries.**

**Mitch Martin  
Vice President, Projects Division  
GeoLogistics**

**James Halstead  
Manager, Government Cargoes  
GeoLogistics**

**Cindy Srisuwan  
Manager, Commercial Cargoes  
GeoLogistics**

**12:30pm      Panel of Representatives of the Brokers and Freight Forwarder Service Industry.**

**Moderator: Lorie Whitaker  
Senior Attorney  
Office of Chief Counsel for Export Administration**

**Bill Maron, Sr.  
Director of Ocean Exports  
A.N. Deringer, Inc.**

**Susan Kargel  
District Manager  
Aires International**

**Mitch Martin**  
**Vice President, Projects Division**  
**GeoLogistics**

**James Halstead**  
**Manager, Government Cargoes**  
**GeoLogistics**

**Cindy Srisuwan**  
**Manager, Commercial Cargoes**  
**GeoLogistics**

**1:15pm**      **Open Lunch**

**Moderator: Gene Petersen-Beard**  
**Deputy Director**  
**NEC Team**

**2:45pm**      **Enforcement of Export Controls: UK Customs Perspective**

**Roger Sanbrook**  
**Senior Policy Advisor for Enforcement and Trade Restrictions**  
**UK Customs Administration**

**3:30pm**      **Break**

**3:45pm**      **Government Outreach to Broker and Freight Forwarder Service Industries**

**Stephen Leacy**  
**Senior Enforcement and International Advisor**  
**Export Enforcement/ U.S. Department of Commerce**

**Michael Turner**  
**Director, Strategic Investigations**  
**U.S. Customs**

**4:30pm**

**Panel of Representatives from Industry and Government for  
Questions and Answer Session**

**Roger Sanbrook**

**Senior Policy Advisor for Enforcement and Trade Restrictions**

**UK Customs Administration**

**Stephen Leacy**

**Senior Enforcement and International Advisor**

**Export Enforcement/ U.S. Department of Commerce**

**Michael Turner**

**Director, Strategic Investigations**

**U.S. Customs**

**Friday, July 16, 1999**

**Hall of Commerce  
Washington, DC**

**Morning Session**

**9:00am        U.S. Controls on Transit of Controlled Items: Legal and Regulatory Foundation**

**Matthew Borman  
Senior Attorney  
Office of Chief Counsel for Export Administration  
Department of Commerce**

**9:30am        European Union Controls on Transit  
-Legal and regulatory on program, processes, and procedures  
-Outline of a model program**

**Gerd Mill  
Export Control Director  
Rhode & Schwarz**

**10:00am       Break**

**Focus:        Break Out Facilitated Discussion on Selected Export Control Topics of Interest**

**10:15am       Group I Discussion in Room 1412**

**Moderator: Dr. Gary Bertsch  
participants composed of one representative from each country**

**Group II Discussion in Room 1414**

**Moderator: Dr. Richard Cupitt  
participants composed of one representative from each country**

**11:45pm**      **Lunch and Plenary Meeting in Hall of Commerce**  
**(Facilitators of Group I and Group II summarize**  
**the results of the discussions)**

**12:15pm**      **Group I Lunch in Hall of Commerce**

**Group II LOIS and ICP Presentations room 4510**

**1:00pm**      **Group II Lunch in Hall of Commerce**

**Group I LOIS and ICP Presentations room 4510**

**2:00pm**      **Continuation of Country Presentations by Delegation Heads on Their**  
**National Export Control System's Accomplishments, Improvements,**  
**and Strengthening Over the Previous Year.**

**Moderator: Govinder S. Keith**  
**Senior Country Program Manager**  
**Department of Commerce**

**Moldova, Poland, Romania, Russia, Slovakia**

**3:00pm**      **Break**

**3:15pm**      **Continuation of Country Presentations by Delegation Heads on Their**  
**National Export Control System's Accomplishments, Improvements,**  
**and Strengthening Over the Previous Year.**

**Moderator: T. Scott Bunton**  
**Deputy Under Secretary of Export Administration**  
**Department of Commerce**

**Slovenia, Tajikistan, Turkmenistan, Ukraine, Uzbekistan**

**4:15**      **Conclusion and Wrap-Up of Symposium**

**T. Scott Bunton**  
**Deputy Under Secretary for Export Administration**  
**Department of Commerce**